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Substitute for form 1449A/PTO	Com	Complete if Known		
	Application Number	09/735,919		
INFORMATION DISCLOSURE	Filing Date	April 9, 2001		
	First Named Inventor	HERRMANN, Marc		
STATEMENT BY APPLICANT (use as many sheets as necessary)	Art Unit	2444		
	Examiner Name	BENGZON, GREG C.		
Sheet 1 of 2	Attorney Docket Number	T2147-906756		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f Incom)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-5,651,006	07-1997	Fujino et al.	
	2	US-5,774,669	06-30-1998	George et al.	
	3	US-5,204,955	4-20-1993	Kagei et al.	
	4	US-6,571,286	05-2003	Fisher et al.	
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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
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¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. This information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 2 of 2	Attorney Docket Number	T2147-906756	

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т2
	5	Z. LIANG et al., "Cluster Probe: An Open, Flexible and Scalable Cluster Monitoring Tool", December 2, 1999, pp. 261-268	
	6	J. YANG et al., "A Scalable, Web-based Architecture for Hierarchical Network Management", December 5, 1999, pp. 1882-1888	
			<u>.</u>

Signature Considered

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